C Ø

Please type a plus sign (+) inside this box [+]

a plus sign (+) inside this box [+]

Approved for use through 10/31/2002. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

UTIL	YTI.	<b>PATENT</b>	<b>APPL</b>	ICATIO	ON TRA	ANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))	
Attorney Docket No. 80398.P118C	
(maximum 12 characters)  First Named Inventor Mercs, et al.	
Title: Multi-Mode LED Indicators For Recording Devices	
Express Mail Label No. EL899343425US	

ADDRESS TO:	Assistant Commissioner for Patents	
	Box Patent Application	
	Washington, D. C. 20231	

APP	LICATION	ELEMENTS				
See	MPEP cha	apter 600 concerning utility patent application contents.				
1.	<u>x</u>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)				
2.		Applicant Claims Small Entity Status. (37 CFR 1.27)				
3.	<u>X</u>	Specification (Total Pages 17 (preferred arrangement set forth below)  - Descriptive Title of the Invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claim(s)  - Abstract of the Disclosure				
4.	X	Drawings(s) (35 USC 113) (Total Sheets 3				
5.	<u>X</u>	Oath or Declaration (Total Pages 3_)				
a Newly Executed (Original or Copy)						
		b. X Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed)				
		<ul> <li>i. <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul>				
		c Unsigned.				
6.		Application Data Sheet. (37 CFR 1.76)				
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)				
8.	(if applicable, a.	Nucleotide and/or Amino Acid Sequence Submission all necessary)  Computer Readable Form (CRF)				
	b	Specification Sequence Listing on iCD-ROM or CD-R (2 copies), or ii. paper				
	C	Statement verifying identity of above copies				

Docket No.: 80398.P118C

Express Mail No.: EL899343425US

1

		ACCOMPANYING APPLICATION PARTS				
<b>9.</b> 10.		Assignment Papers (cover sheet & documents(s))  a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)				
4.4		b. Power of Attorney				
11.		_ English Translation Document (if applicable)				
12.	<u>X</u>	a. Information Disclosure Statement (IDS)/PTO-1449				
40		b. Copies of IDS Citations				
13.	<u>X</u>	Preliminary Amendment  Patrick Passint Passint Passint (MPER 502) (Chauld be appointed by itemized)				
	<u>X</u>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)				
15. <b>16.</b>		Certified Copy of Priority Document(s) (if foreign priority is claimed)  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach				
		form PTO/SB/35 or its equivalent.				
17.		Other:				
18A.	If a CON	NTINUING APPLICATION, check appropriate box and supply the requisite information:				
		ontinuation Divisional Continuation-in-part (CIP) lication No.: 08/999,642 Examiner Harvey, M. Group Art Unit 2644				
		continuation/ divisional/ CIP of prior application no, continuation/ divisional/ CIP of prior application no) (List entire chain of pnonty)				
an oa contii	th or decl	ATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which laration is supplied under Box 5b, is considered a part of the disclosure of the accompanying r divisional application and is hereby incorporated by reference. The incorporation can only when a portion has been inadvertently omitted from the submitted application parts.				
	•	nt under 37 CFR 3.73(b) for <u>continuing</u> application:				
the as	The undessignee of nment rec	ersigned states that <u>Sony Electronics, Inc.</u> (name of assignee) is f the entire right, title, and interest in the accompanying patent application by virtue of an corded in the Patent and Trademark Office at Reel. No. <u>010041</u> Frame No. <u>0920</u> Princh is attached).				
19.	Corre	spondence Address				
		mer Number or Bar Code Label				
Х		or (Insert Customer No. or Attach Bar Code Label here) spondence Address Below				
	<del></del>	spondence Address below				
NAM	E BL_	AKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP				
ADDI	RESS _	12400 Wilshire Boulevard				
		Seventh Floor				
CITY	Los Ang	geles STATE California ZIP CODE 90025-1026				
Coun	try <u>U</u>	S.A. TELEPHONE (303) 740-1980 FAX (303) 740-1980				
	PRINT/1					
Signa	nture: 🚄	Date: <u>September 26, 2001</u>				

Docket No.: 80398.P118C Express Mail No.: EL899343425

PTO/SB/17(11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL FOR FY 2001								
	TOTAL A	MOUNT OF PAYMENT (\$)	870.00					
Complete if Known:								
	Application No. Not Yet Assigned							
Filing Date Concurrent	Mores et al							
Group Art Unit Not Yet								
Examiner Name Not Ye	et Assigned			j				
Attorney Docket No 80	0398.P118C							
1	METHOD OF PAYMENT (check one)							
	ommissioner is ner payments to:	ereby authorized to charge	indicated fees and credit	{				
	Deposit Account Number 02-2666  Deposit Account Name							
[ X ] Charg	e Any Additional	Fee Required Under 37 CFR	R 1.16 and 1.17	Ì				
- [ ] Applic	ant claims small	entity status. See 37 CFR 1	.27					
2. X Paymo	ent Enclosed:	X Check		,				
	,	Credit Card		l				
		Money Order						
		Other						
FEE CALCULATION								
1. BASIC FILING	FEE							
<del></del>	Entity							
Fee Fee Fee	Fee (\$) Foo Do	acciption	Fee Paid	[				
Code (\$) Code		escription application filing fee	710.00	İ				
106 320 206	160 Design	application filing fee	<u></u>					
107 490 207	245 Plant f	iling fee		1				
108 710 208		ie filing fee		}				
114 150 214	75 Provis	ional application filing fee		ļ				
			SUBTOTAL (1) \$ 710.00	1				
2. EXTRA CLAIM	FEES	Eviro Claima	Fee from <u>below</u> <u>Fee Paid</u>					
		Extra Claims						
Total Claims 18	20**		X 18.00 = 160.00	}				
Independent Claims	<u>5</u> – 3**	= 2	X <u>80.00</u> = <u>160.00</u> =	Ì				
Multiple Dependent	alunaid if are -	for Ear Daissuas cas ha						
	isiy paid, ir grea <u>Entity</u>	ter; For Reissues, see be	IU YV.					
Fee Fee Fee	Fee							
Code (\$) Code		scription						
103 18 203	9 Claims	in excess of 20	0.00					
102 80 202		ndent claims in excess of 3	0.00	ł				
104 270 204		e dependent claim, if not pa						
109 80 209	40 **Reiss	ue independent claims over	r original patent 0.00 nd over original patent 0.00					
110 18 210	9 **Reiss	ue claims in excess of 20 ar	nu over original patent 0.00					
FEE CALCULATION	(continued)		SUBTOTAL (2) \$ 160.00					

3.	3. ADDITIONAL FEES						
	Entity	Small E					
Fee	Fee	Fee	Fee				
Code		Code	(\$)	Fee Description Fee Paid			
105	130	205	65	Surcharge - late filing fee or oath			
127	50	227	25	Surcharge - late provisional filing fee			
400	400	400	400	or cover sheet			
139	130	139	130	Non-English specification			
147	2,520	147	2,520	For filing a request for ex parte reexamination			
112	· · · · · · · · · · · · · · · · · · ·						
113	Examiner action						
113	13 1,840* 113 1,840* Requesting publication of SIR after Examiner action						
115	110	215	55	Extension for reply within first month			
116	390	216	195	Extension for reply within second month			
117	890	217	445	Extension for reply within second month			
118	1,390	218	695	Extension for reply within fourth month			
128	1,890	228	945	Extension for reply within fifth month			
119	310	219	155	Notice of Appeal			
120	310	220	155	Filing a brief in support of an appeal			
121	270	221	135	Request for oral hearing			
138	1,510	138	1,510	Petition to institute a public use proceeding			
140	110	240	55	Petition to revive – unavoidable			
141	1,240	241	620	Petition to revive - unintentional			
142	1,240	242	620	Utility issue fee (or reissue)			
143	440	243	220	Design issue fee			
144	600	244	300	Plant issue fee			
122	130	122	130	Petitions to the Commissioner			
123	130	123	130	Petitions related to provisional applications			
126	180	126	180	Submission of Information Disclosure Stmt			
581	40	581	40	Recording each patent assignment per			
}		property (times number of properties)					
146							
				(see 37 CFR 1.129(a))			
149	710	249	355	For each additional invention to be examined			
				(see 37 CFR 1.129(b))			
179	710	279	355	Request for Continued Examination (RCE)			
169	900	169	900	Request for expedited examination of a design			
				application			
Other	fee (specif	fy)					
041	f = - ( = = = = +!	<b>c</b> . 3					
Other	fee (specif	(Y)					
ļ							
}				SUBTOTAL (3) \$			
*Reduc	ced by Basic	Filing Fee	Paid				
j							
SUBN	SUBMITTED BY:						
Туре	d or Printe	ed Name:	Mark	J. Fink			
Signa	ature: 🚄	H	12	Date: _September 26, 2001	_		
				Telephone Number: 303-740-1980	_		
reg.	Number:	45,270		releptione Number. 303-740-1300			
1							

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Docket No.: 80398P118C

Express Mail No.: EL899343425US